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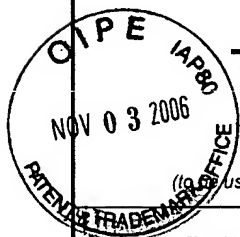
11-06-06 IFW 1652

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

15 pages

Application Number

10/040,315

Filing Date

October 29, 2001

First Named Inventor

FARESE JR., ROBERT V.

Group Art Unit

1652

Examiner Name

HUTSON, RICHARD G.

Attorney Docket Number

UCAL-105CIP2

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Documents
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Form PTO/SB/08a  
3 References  
EP Examination Report  
Return Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Signing Attorney/Agent  
(Reg. No.)

PAULA A. BORDEN, 42,344  
BOZICEVIC, FIELD & FRANCIS, LLP

Signature

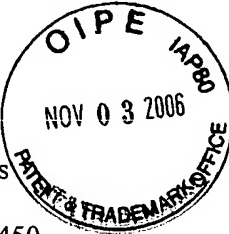
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November 3, 2006

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<b>INFORMATION DISCLOSURE STATEMENT</b>    Address to: Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	UCAL-105CIP2
	First Named Inventor	FARESE JR., ROBERT V.
	Application Number	10/040,315
	Filing Date	October 29, 2001
	Group Art Unit	1652
	Examiner Name	HUTSON, RICHARD G
	Title:	"DIACYLGLYCEROL O-ACYLTRANSFERASE"

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. This is being filed *after* the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

A Form PTO-SB/08A listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

— All of the references identified herein are being submitted prior to the final determination on patentability by the Office.

XX All of the references identified herein were cited in an International (EP) Examination Report dated August 4, 2006, in an EP application corresponding to the above-identified U.S. application. A copy of the Examination Report, including an indication of the purported relevance of the cited documents, is enclosed herewith. Copies of the references are submitted herewith.

— All of the references identified herein were disclosed in parent application Serial No. 09/339,472 and, as such, copies thereof are not included pursuant to the provisions of 37 C.F.R. §1.98(d), except if noted below.

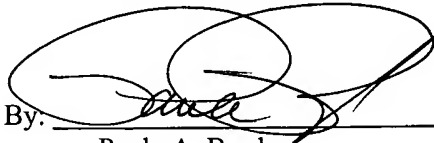
This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. UCAL-105CIP2.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP

Date: Nov. 3, 2006

By:   
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				Complete if Known Application Number: 10/040,315 Filing Date: October 29, 2001 First Named Inventor: FARESE JR, Robert V. Art Unit: 1652 Examiner Name: HUTSON, Richard G.	
Sheet	1	of	2	Attorney Docket Number	UCAL-105CIP2

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FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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*(use as many sheets as necessary)*

Application Number	10/040,315
Filing Date	October 29, 2001
First Named Inventor	FARESE JR, Robert V.
Art Unit	1652
Examiner Name	HUTSON, Richard G.
Attorney Docket Number	UCAL-105CIP2

Sheet	2	of	2
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[illegible]Examiner  
SignatureDate  
Considered

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